



MB 38

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Chen et al.	Examiner: Not Yet Assigned
Serial No.: 10/072,830	Group Art Unit: 1633
Filed: February 8, 2002	Atty Docket No.: <u>ERM-105.01</u>
For: <i>Methods and Compositions for Stimulating Axon Regeneration and Preventing Neuronal Cell Degeneration</i>	

U.S. Patent and Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

Certificate of First Class Mailing	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202, on the date indicated below:	
<u>September 30, 2002</u> Date of Signature and Mail Deposit	By: <u>Terrill Williams</u> Terrill Williams

FEE CALCULATION SHEET/TRANSMITTAL OF RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Sir/Madam:

Transmitted herewith is a copy of the Notice to File Missing Parts of Non-Provisional Application; an Application Data Sheet, listing all inventors; Declarations signed by all inventors (including H. Manji, who was not previously listed as an inventor); Computer Diskette of Sequence Listing; a paper copy of a Sequence Listing; a Statement for Sequence Listing; a Petition for a Three Months Extension of Time; and two checks to cover all applicable fees.

The fees are calculated below:

	# FILED	# EXTRA	Rate	FEE	Rate (Sm. Entity)	FEE
BASIC FEE			\$740		\$370	\$370
TOTAL CLAIMS*	33-20=	13	x \$18		x \$9	\$117
INDEPENDENT CLAIMS*	8-3=	5	x \$84		x \$42	\$210
MULTIPLE DEPENDENT CLAIMS			\$260		\$130	\$0
* NUMBER EXTRA MUST BE ZERO OR LARGER			TOTAL			\$697

- [X] A check for \$762.00 for the application filing fee of \$697.00 and the surcharge of \$65.00 under 37 CFR 1.16(e) is enclosed.
- [] The Commissioner is hereby authorized to charge \$.00 to our Deposit Account No. 06-1448 to cover the surcharge.
- [X] Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully submitted,

By: *I. Clauss*
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,830	02/08/2002	Dong Feng Chen	ERM-105.01

CONFIRMATION NO. 2287

25181
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ONE POST OFFICE SQUARE
BOSTON, MA 02109*Missing Parts w/Seq. Listing*
DUE: 6-29-02
FINAL: 11-29-02

FORMALITIES LETTER



OC000000007965469

Date Mailed: 04/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

10/07/2002 WABDELRI 00000050 10072830

370.00 OP
210.00 OP
117.00 OP
65.00 OP01 FC:201
02 FC:202
03 FC:203
04 FC:205

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$327** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$762** for a Small Entity

- **\$370** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$327**
 - **\$117** for 13 total claims over 20.
 - **\$210** for 5 independent claims over 3.

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY